

|   |                        |                          |            |
|---|------------------------|--------------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                          | 10784598   |
|   | Filing Date            |                          | 2004-10-12 |
|   | First Named Inventor   | Joseph P. Emico          |            |
|   | Art Unit               | 3738                     |            |
|   | Examiner Name          | B. E. Pellegrino         |            |
|   | Attorney Docket Number | SPINE 3.0-437 CIPCIPCICI |            |

| U.S. PATENTS  |         |               |                        |            |   | Remove   |
|---|---------|---------------|------------------------|------------|---|--|
| Examiner Initial*   | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|   | 1       | 7008432       |                        | 2006-03-07 | Schlapfer et al.                                |  |
|   | 2       | 6712819       |                        | 2004-03-30 | Zucherman et al.                                |  |
|   | 3       | 7235062       |                        | 2007-06-26 | Bartish et al.                                  |  |
|   | 4       | 2121682       |                        | 1938-06-21 | PARKS BOUCHER                                   |  |
|   | 5       | 6752832       |                        | 2004-06-22 | Neumann et al.                                  |  |
|   | 6       | 6821298       |                        | 2004-11-23 | Jackson   |  |
|   | 7       | 6159211       |                        | 2000-12-12 | Boriani et al.                                  |  |
|   | 8       | 5015247       |                        | 1991-05-14 | Michelson                                       |  |
| If you wish to add additional U.S. Patent citation information please click the Add button. |         |               |                        |            |   | Add  |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 10784598                 |
| Filing Date            | 2004-10-12               |
| First Named Inventor   | Joseph P. Emico          |
| Art Unit               | 3738                     |
| Examiner Name          | B. E. Pellegrino         |
| Attorney Docket Number | SPINE 3.0-437 CIPCIPCICI |

| U.S. PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |   |  | Remove |
|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|--------|
| Examiner Initial*                    | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |        |
|                                      | 1       | 20060064107        |                        | 2006-03-23       | Bertagnoli et al.                               |  |        |
|                                      | 2       | 20030125739        |                        | 2003-07-03       | Bagga et al.                                    |  |        |
|                                      | 3       | 20040059343        |                        | 2004-03-25       | Shearer et al.                                  |  |        |

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

| FOREIGN PATENT DOCUMENTS |         |                                      |                             |                        |                  |   |  | Remove                   |
|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|                          | 1       |                                      |                             |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

| NON-PATENT LITERATURE DOCUMENTS |         |   |  |  |  |  |  | Remove                   |
|---------------------------------|---------|---|--|--|--|--|--|--------------------------|
| Examiner Initials*              | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  | T <sup>5</sup>           |
|                                 | 1       |   |  |  |  |  |  | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button. [Add](#)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 10784598                 |
| Filing Date            | 2004-10-12               |
| First Named Inventor   | Joseph P. Emico          |
| Art Unit               | 3738                     |
| Examiner Name          | B. E. Pellegrino         |
| Attorney Docket Number | SPINE 3.0-437 CIPCIPCICI |

**EXAMINER SIGNATURE**

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.